

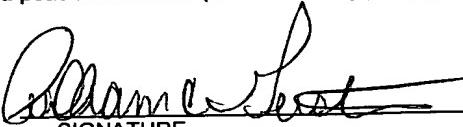
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |  |  |
|---|--|--|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |  | ATTORNEY'S DOCKET NUMBER<br>101769-304-WCG                       |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP02/02309   | INTERNATIONAL FILING DATE<br>March 4, 2002 | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>TBA 10/529845 |
| TITLE OF INVENTION<br>ADHESIVE MASSES BASED ON BLOCK CO-POLYMERS OF STRUCTURE P(A)-P(B)-P(A) AND P(B)-P(A)-P(B)   |  |  |
| APPLICANT(S) FOR DO/EO/US<br>Thilo DOLLASE, Marc HUSEMANN and Bernd LUHMANN   |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |  |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> |  |  |
| <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Postcard</p>  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SPC

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|   |              |   |            |   |      |
|---|--------------|---|------------|---|------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>TBA 10/529845</b>   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/EP02/02309</b>                        |            | ATTORNEY'S DOCKET NUMBER<br><b>101769-304-WCG</b> |      |
| 21. The following fees are submitted:<br><input checked="" type="checkbox"/> a) Basic national fee..... \$300.00<br><input checked="" type="checkbox"/> b) Examination fee..... \$200.00<br><input checked="" type="checkbox"/> c) Search fee..... \$500.00<br><b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b><br><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |   |            |   |      |
| Total Sheets  | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) |            | RATE  |      |
| 35 - 100 = 0  | /50 = 0      |   |            | x \$250.00  | \$ 0 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |   |            |   |      |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA  | RATE       |   | \$   |
| Total claims  | 19 - 20 = 0  |   | x \$50.00  | \$ 0  |      |
| Independent claims  | 2 - 3 = 0    |   | x \$200.00 | \$ 0  |      |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   | + \$360.00 |   | \$ 0 |
| <b>TOTAL OF ABOVE CALCULATIONS = \$ 0</b>   |              |   |            |   |      |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.  |              |   |            |   |      |
| <b>SUBTOTAL = \$ 1,000.00</b>   |              |   |            |   |      |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |   |            |   |      |
| <b>TOTAL NATIONAL FEE = \$ 1,000.00</b>   |              |   |            |   |      |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   |            |   |      |
| <b>TOTAL FEES ENCLOSED = \$ 1,000.00</b>  |              |   |            |   |      |
| Amount to be refunded:   |              |   |            |   |      |
| Amount to be charged   |              |   |            |   |      |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.<br>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>14-1263</u> in the amount of \$ <u>1,000.00</u> to cover the above fees.<br>A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>14-1263</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |              |   |            |   |      |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |   |            |   |      |
| SEND ALL CORRESPONDENCE TO:   |              |   |            |   |      |
| Customer No. 27386  |              |   |            |   |      |
| Norris McLaughlin & Marcus, P.A.<br>875 Third Avenue, 18th Floor<br>New York, New York 10022  |              |   |            |   |      |
| <br>SIGNATURE<br><b>William C. Gerstenzang</b><br>NAME<br><b>27,552</b><br>REGISTRATION NUMBER  |              |   |            |   |      |

10/529845

2013 Rockwell PCT/EP02/02309 21 MAR 2005

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,500.00)

## Complete if Known

|                      |                             |
|----------------------|-----------------------------|
| Application Number   | TBA (371 of PCT/EP02/02309) |
| Filing Date          | Herewith                    |
| First Named Inventor | Thilo DOLLASE               |
| Examiner Name        | TBA                         |
| Art Unit             | TBA                         |
| Attorney Docket No.  | 101769-304-WCG              |

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 14-1263 Deposit Account Name: Norris McLaughlin & Marcus, P.A.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

## 2. EXCESS CLAIM FEES

## Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

|                       |          |
|-----------------------|----------|
| Small Entity Fee (\$) | Fee (\$) |
|-----------------------|----------|

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

|     |     |
|-----|-----|
| 200 | 100 |
|-----|-----|

Multiple dependent claims

|     |     |
|-----|-----|
| 360 | 180 |
|-----|-----|

|              |              |          |               |                           |
|--------------|--------------|----------|---------------|---------------------------|
| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims |
|--------------|--------------|----------|---------------|---------------------------|

- 20 or HP =  $\frac{\text{Fee Paid ($)}}{\text{Fee ($)}} \times \text{Fee ($)} = \text{Fee Paid ($)}$   
HP = highest number of total claims paid for, if greater than 20

|               |              |          |               |
|---------------|--------------|----------|---------------|
| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) |
|---------------|--------------|----------|---------------|

- 3 or HP =  $\frac{\text{Fee Paid ($)}}{\text{Fee ($)}} \times \text{Fee ($)} = \text{Fee Paid ($)}$   
HP = highest number of independent claims paid for, if greater than 3

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|              |              |  |          |               |
|--------------|--------------|--|----------|---------------|
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|

- 100 =  $\frac{\text{Fee Paid ($)}}{\text{Fee ($)}} / 50 = \text{Fee Paid ($)}$  (round up to a whole number)  $\times \text{Fee ($)} = \text{Fee Paid ($)}$

## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Petition for Revival under 37 CFR 1.137(b) \$1,500.00

## SUBMITTED BY

Signature

Registration No.  
(Attorney/Agent) 27,552

Telephone (212) 808-0700

Name (Print/Type) William C. Gerstenzang

Date March 31, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/529845  
JC13 Rec'd PCT/PTO 31 MAR 2005

101769-304-WCG

Applicants : Thilo DOLLASE, et al.  
Serial No. : TBA (371 of PCT/EP02/02309 filed March 4, 2002)  
Filed : Herewith  
For : ADHESIVE MASSES BASED ON BLOCK CO-POLYMERS OF STRUCTURE P(A)-P(B)-P(A) AND P(B)-P(A)-P(B)

**EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number EV586235450US  
Date of Deposit March 31, 2005

I hereby certify that the following items:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate)  
**CHARGE DEPOSIT ACCOUNT \$1,000.00**
2. Petition for Revival of an International Application Designating US Abandoned Unintentionally under 37 CFR 1.137(b)
3. Fee Transmittal (in duplicate)**CHARGE DEPOSIT ACCOUNT \$1,500.00**
4. English language translation of international application (spec pages 1-30 and claims pages 31-35)
5. First Preliminary Amendment (12 sheets)
6. Second copy of published international application (WO 03/000794)
7. Second copy of English language translation of international application
8. Application Data Sheet (3 sheets)
9. Return Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By \_\_\_\_\_

Zsuzsa Schuster

